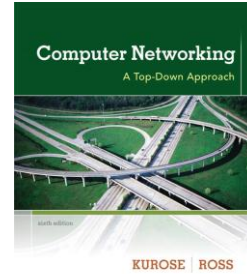


# Chapter 2

## Application Layer



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*Computer  
Networking: A Top  
Down Approach*  
6<sup>th</sup> edition  
Jim Kurose, Keith Ross  
Addison-Wesley  
March 2012

Application Layer 2-1

## Chapter 2: outline

### 2.1 Principles of network applications

### 2.2 Web and HTTP

### ~~2.3 FTP~~

### 2.4 electronic mail

- ~~SMTP, POP3, IMAP~~

### 2.5 DNS

### 2.6 P2P applications

### 2.7 socket programming with UDP and TCP

Application Layer 2-2

## Chapter 2: application layer

### our goals:

- ❖ conceptual, implementation aspects of network application protocols
  - transport-layer service models
  - client-server paradigm
  - peer-to-peer paradigm
- ❖ learn about protocols by examining popular application-level protocols
  - HTTP
  - SMTP
  - DNS
- ❖ creating network applications
  - socket API

Application Layer 2-3

## Some network apps

- ❖ e-mail
- ❖ web
- ❖ text messaging
- ❖ remote login
- ❖ P2P file sharing
- ❖ multi-user network games
- ❖ streaming stored video (YouTube, Hulu, Netflix)
- ❖ voice over IP (e.g., Skype)
- ❖ real-time video conferencing
- ❖ social networking
- ❖ search
- ❖ ...
- ❖ ...

Application Layer 2-4

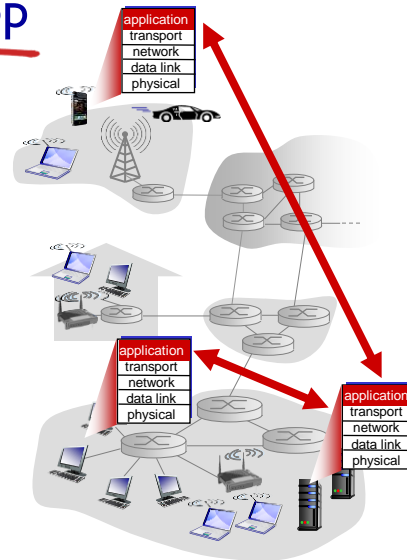
## Creating a network app

write programs that:

- ❖ run on (different) *end systems*
- ❖ communicate over network
- ❖ e.g., web server software communicates with browser software

no need to write software for **network-core devices**

- ❖ network-core devices do not run user applications
- ❖ applications on end systems allows for rapid app development, propagation



Application Layer 2-5

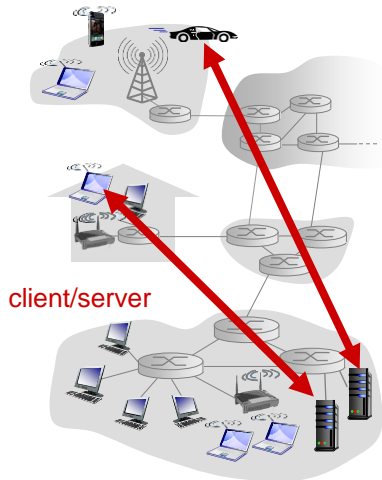
## Application architectures

possible structure of applications:

- ❖ client-server
- ❖ peer-to-peer (P2P)

Application Layer 2-6

# Client-server architecture



## server:

- ❖ always-on host
- ❖ permanent IP address
- ❖ data centers for scaling

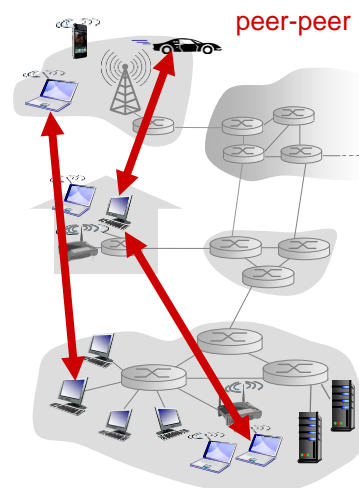
## clients:

- ❖ communicate with server
- ❖ may be intermittently connected
- ❖ may have dynamic IP addresses
- ❖ do not communicate directly with each other

Application Layer 2-7

# P2P architecture

- ❖ no always-on server
  - Sometimes for discovery
- ❖ arbitrary end systems directly communicate
- ❖ peers request service from other peers, provide service in return to other peers
  - **self scalability** – new peers bring new service capacity, as well as new service demands
- ❖ peers are intermittently connected and change IP addresses
  - complex management



Application Layer 2-8

# Processes communicating

*process*: program running within a host

- ❖ within same host, two processes communicate using **inter-process communication** (defined by OS)
- ❖ processes in different hosts communicate by exchanging **messages**

clients, servers

*client process*: process that initiates communication

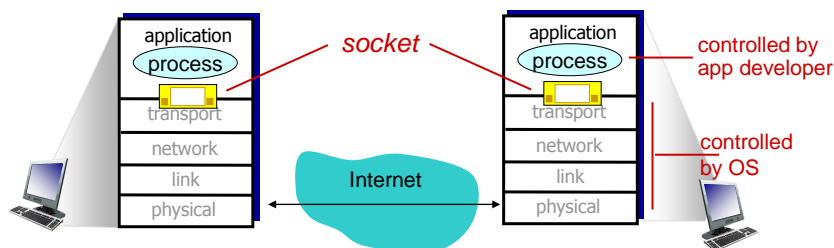
*server process*: process that waits to be contacted

- ❖ aside: applications with P2P architectures have client processes & server processes

Application Layer 2-9

## Sockets

- ❖ process sends/receives messages to/from its **socket**
- ❖ socket analogous to door
  - sending process shoves message out door
  - sending process relies on transport infrastructure on other side of door to deliver message to socket at receiving process



Application Layer 2-10

## Addressing processes

- ❖ to receive messages, process must have *identifier*
- ❖ host device has unique 32-bit IP address
- ❖ Q: does IP address of host on which process runs suffice for identifying the process?
  - A: no, many processes can be running on same host
- ❖ *identifier* includes both **IP address** and **port numbers** associated with process on host.
- ❖ example port numbers:
  - HTTP server: 80
  - mail server: 25
- ❖ to send HTTP message to gaia.cs.umass.edu web server:
  - **IP address**: 128.119.245.12
  - **port number**: 80
- ❖ The first 1024 ports are “well-known” ports
- ❖ more shortly...

Application Layer 2-11

## App-layer protocol defines

- ❖ **types of messages exchanged**,
  - e.g., request, response
- ❖ **message syntax**:
  - what fields in messages & how fields are delineated
- ❖ **message semantics**
  - meaning of information in fields
- ❖ **rules** for when and how processes send & respond to messages
- open protocols**:
  - ❖ defined in RFCs
  - ❖ allows for interoperability
  - ❖ e.g., HTTP, SMTP
- proprietary protocols**:
  - ❖ e.g., Skype

Application Layer 2-12

## What transport service does an app need?

### data integrity

- ❖ some apps (e.g., file transfer, web transactions) require 100% reliable data transfer
- ❖ other apps (e.g., audio) can tolerate some loss

### timing

- ❖ some apps (e.g., Internet telephony, interactive games) require low delay to be “effective”

### throughput

- ❖ some apps (e.g., multimedia) require minimum amount of throughput to be “effective”
- ❖ other apps (“elastic apps”) make use of whatever throughput they get

### security

- ❖ encryption, data integrity, ...

Application Layer 2-13

## Transport service requirements: common apps

application	data loss	throughput	time sensitive
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps video: 10kbps-5Mbps	yes, 100's msec
stored audio/video	loss-tolerant	same as above	yes, few secs
interactive games	loss-tolerant	few kbps up	yes, 100's msec
text messaging	no loss	elastic	yes and no

Application Layer 2-14

## Internet transport protocols services

### *TCP service:*

- ❖ *reliable transport* between sending and receiving process
- ❖ *flow control*: sender won't overwhelm receiver
- ❖ *congestion control*: throttle sender when network overloaded
- ❖ *does not provide*: timing, minimum throughput guarantee, security
- ❖ *connection-oriented*: setup required between client and server processes

### *UDP service:*

- ❖ *unreliable data transfer* between sending and receiving process
- ❖ *does not provide*: reliability, flow control, congestion control, timing, throughput guarantee, security, or connection setup,

**Q:** why bother? Why is there a UDP?

Application Layer 2-15

## Internet apps: application, transport protocols

	application	application layer protocol	underlying transport protocol
	e-mail	SMTP [RFC 2821]	TCP
remote terminal access		Telnet [RFC 854]	TCP
	Web	HTTP [RFC 2616]	TCP
	file transfer	FTP [RFC 959]	TCP
streaming multimedia		HTTP (e.g., YouTube), RTP [RFC 1889]	TCP or UDP
Internet telephony		SIP, RTP, proprietary (e.g., Skype)	TCP or UDP

Application Layer 2-16



## Securing TCP

### TCP & UDP

- ❖ no encryption
- ❖ cleartext passwds sent into socket traverse Internet in cleartext

### SSL

- ❖ provides encrypted TCP connection
- ❖ data integrity
- ❖ end-point authentication

### SSL is at app layer

- ❖ Apps use SSL libraries, which “talk” to TCP

### SSL socket API

- ❖ cleartext passwds sent into socket traverse Internet encrypted
- ❖ See Chapter 8

Application Layer 2-17

## Chapter 2: outline

### 2.2 Web and HTTP

Application Layer 2-18

# Web and HTTP

*First, a review...*

- ❖ **web page** consists of **objects**
- ❖ object can be HTML file, JPEG image, Java applet, audio file,...
- ❖ web page consists of **base HTML-file** which includes **several referenced objects**
- ❖ each object is addressable by a **URL**, e.g.,

`www.someschool.edu/someDept/pic.gif`

host name                      path name

Application Layer 2-19

## HTTP overview

**HTTP: hypertext transfer protocol**

- ❖ Web's application layer protocol
- ❖ client/server model
  - **client**: browser that requests, receives, (using HTTP protocol) and "displays" Web objects
  - **server**: Web server sends (using HTTP protocol) objects in response to requests



Application Layer 2-20

## HTTP overview (continued)

### *uses TCP:*

- ❖ client initiates TCP connection (creates socket) to server, port 80
- ❖ server accepts TCP connection from client
- ❖ HTTP messages (application-layer protocol messages) exchanged between browser (HTTP client) and Web server (HTTP server)
- ❖ TCP connection closed

### *HTTP is “stateless”*

- ❖ server maintains no information about past client requests

*aside*  
protocols that maintain “state” are complex!

- ❖ past history (state) must be maintained
- ❖ if server/client crashes, their views of “state” may be inconsistent, must be reconciled

Application Layer 2-21

## HTTP connections

### *non-persistent HTTP*

- ❖ at most one object sent over TCP connection
  - connection then closed
- ❖ downloading multiple objects required multiple connections

### *persistent HTTP*

- ❖ multiple objects can be sent over single TCP connection between client, server

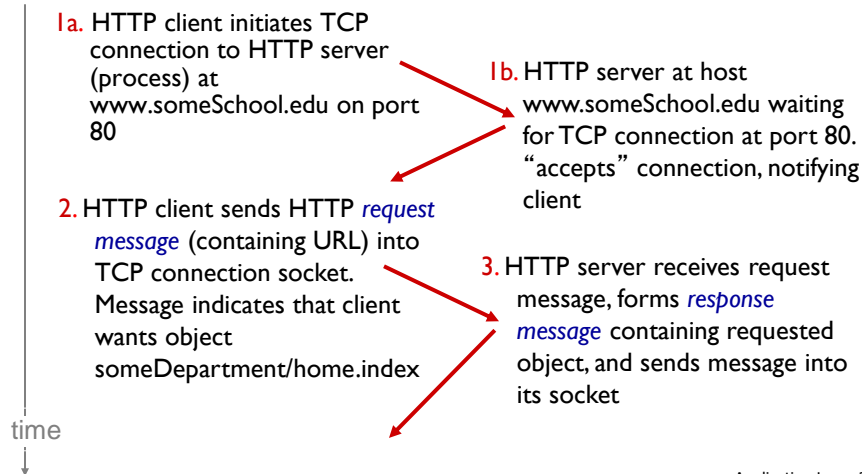
Application Layer 2-22

## Non-persistent HTTP

suppose user enters URL:

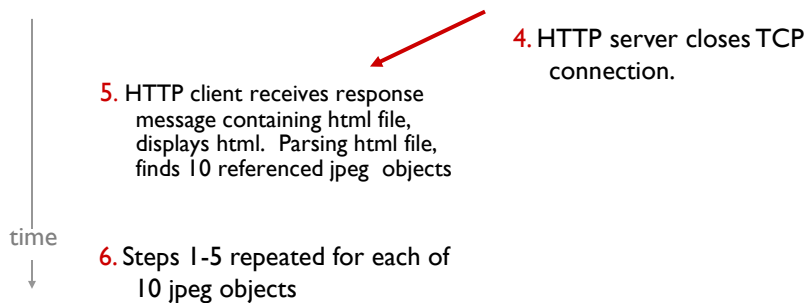
`www.someSchool.edu/someDepartment/home.index`

(contains text,  
references to 10  
jpeg images)



Application Layer 2-23

## Non-persistent HTTP (cont.)



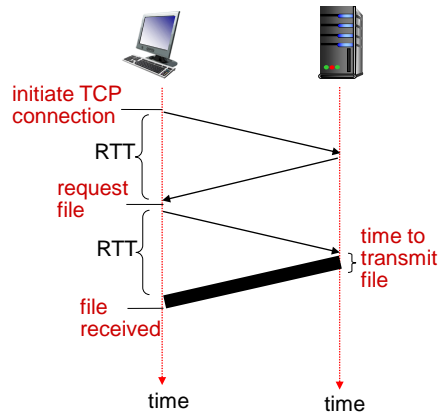
Application Layer 2-24

## Non-persistent HTTP: response time

**RTT (definition):** time for a small packet to travel from client to server and back

**HTTP response time:**

- ❖ one RTT to initiate TCP connection
- ❖ one RTT for HTTP request and first few bytes of HTTP response to return
- ❖ file transmission time
- ❖ non-persistent HTTP response time =  $2\text{RTT} + \text{file transmission time}$



Application Layer 2-25

## Persistent HTTP

**non-persistent HTTP issues:**

- ❖ requires 2 RTTs per object
- ❖ OS overhead for *each* TCP connection
- ❖ browsers often open parallel TCP connections to fetch referenced objects

***persistent HTTP:***

- ❖ server leaves connection open after sending response
- ❖ subsequent HTTP messages between same client/server sent over open connection
- ❖ client sends requests as soon as it encounters a referenced object
- ❖ as little as one RTT for all the referenced objects

Application Layer 2-26

# HTTP request message

- ❖ two types of HTTP messages: *request, response*
- ❖ **HTTP request message:**
  - ASCII (human-readable format)

request line  
(GET, POST,  
HEAD commands)

header  
lines

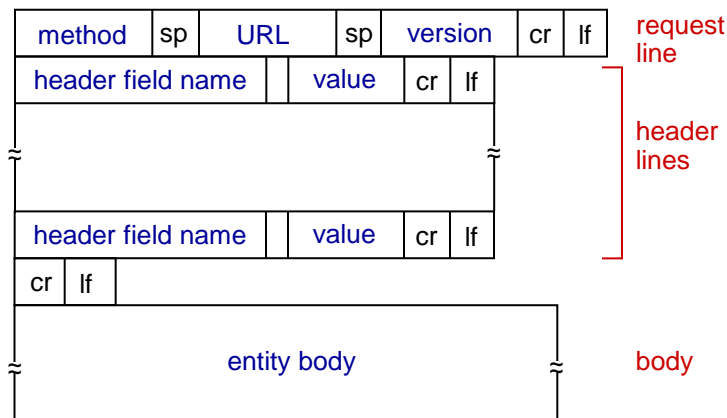
carriage return,  
line feed at start  
of line indicates  
end of header lines

```
GET /index.html HTTP/1.1\r\n
Host: www-net.cs.umass.edu\r\n
User-Agent: Firefox/3.6.10\r\n
Accept: text/html,application/xhtml+xml\r\n
Accept-Language: en-us,en;q=0.5\r\n
Accept-Encoding: gzip,deflate\r\n
Accept-Charset: ISO-8859-1,utf-8;q=0.7\r\n
Keep-Alive: 115\r\n
Connection: keep-alive\r\n
\r\n
```

carriage return character  
line-feed character

Application Layer 2-27

## HTTP request message: general format



Application Layer 2-28

## Uploading form input

### POST method:

- ❖ web page often includes form input
- ❖ input is uploaded to server in entity body

### URL method:

- ❖ uses GET method
- ❖ input is uploaded in URL field of request line:

`www.somesite.com/animalsearch?monkeys&banana`

Application Layer 2-29

## Method types

### HTTP/1.0:

- ❖ GET
- ❖ POST
- ❖ HEAD
  - asks server to leave requested object out of response

### HTTP/1.1:

- ❖ GET, POST, HEAD
- ❖ PUT
  - uploads file in entity body to path specified in URL field
- ❖ DELETE
  - deletes file specified in the URL field

Application Layer 2-30

## HTTP response message

status line  
(protocol  
status code  
status phrase)

header  
lines

data, e.g.,  
requested  
HTML file

```
HTTP/1.1 200 OK\r\n
Date: Sun, 26 Sep 2010 20:09:20 GMT\r\n
Server: Apache/2.0.52 (CentOS)\r\n
Last-Modified: Tue, 30 Oct 2007 17:00:02
GMT\r\n
ETag: "17dc6-a5c-bf716880"\r\n
Accept-Ranges: bytes\r\n
Content-Length: 2652\r\n
Keep-Alive: timeout=10, max=100\r\n
Connection: Keep-Alive\r\n
Content-Type: text/html; charset=ISO-8859-
1\r\n
\r\n
data data data data data ...
```

Application Layer 2-31

## HTTP response status codes

❖ status code appears in 1st line in server-to-client response message.

❖ some sample codes:

### **200 OK**

- request succeeded, requested object later in this msg

### **301 Moved Permanently**

- requested object moved, new location specified later in this msg (Location:)

### **400 Bad Request**

- request msg not understood by server

### **404 Not Found**

- requested document not found on this server

### **505 HTTP Version Not Supported**

Application Layer 2-32



## Trying out HTTP (client side) for yourself

1. Telnet to your favorite Web server:

```
telnet cis.poly.edu 80
```

opens TCP connection to port 80  
(default HTTP server port) at cis.poly.edu.  
anything typed in sent  
to port 80 at cis.poly.edu

2. type in a GET HTTP request:

```
GET /~ross/ HTTP/1.1  
Host: cis.poly.edu
```

by typing this in (hit carriage  
return twice), you send  
this minimal (but complete)  
GET request to HTTP server

3. look at response message sent by HTTP server!

Application Layer 2-33

## User-server state: cookies

many Web sites use cookies

*four components:*

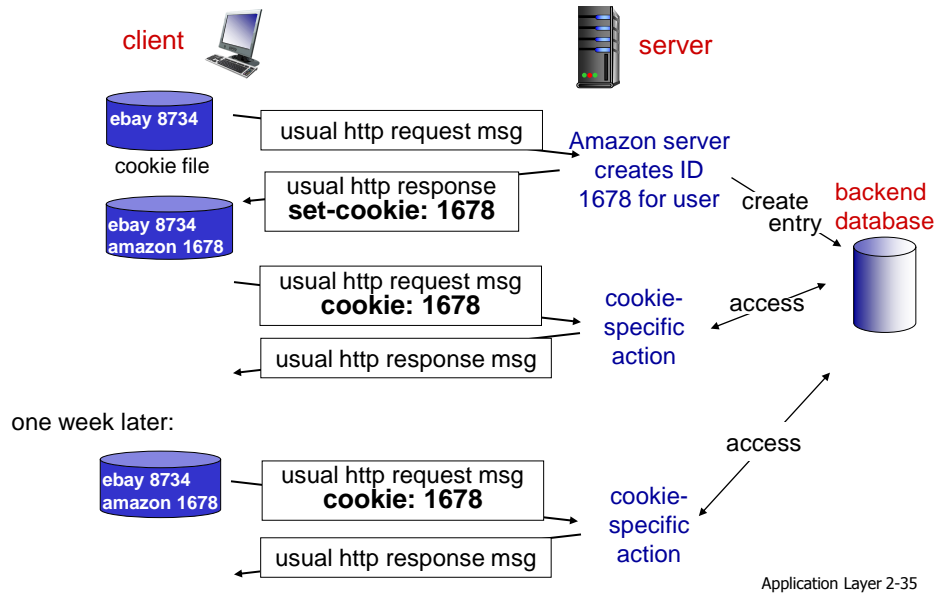
- 1) cookie header line of HTTP *response* message
- 2) cookie header line in next HTTP *request* message
- 3) cookie file kept on user's host, managed by user's browser
- 4) back-end database at Web site

**example:**

- ❖ Susan always access Internet from PC
- ❖ visits specific e-commerce site for first time
- ❖ when initial HTTP requests arrives at site, site creates:
  - unique ID
  - entry in backend database for ID

Application Layer 2-34

## Cookies: keeping “state” (cont.)



## Cookies (continued)

*what cookies can be used for:*

- ❖ authorization
- ❖ shopping carts
- ❖ recommendations
- ❖ user session state (Web e-mail)

*cookies and privacy:* **aside**

- ❖ cookies permit sites to learn a lot about you
- ❖ you may supply name and e-mail to sites

*how to keep “state”:*

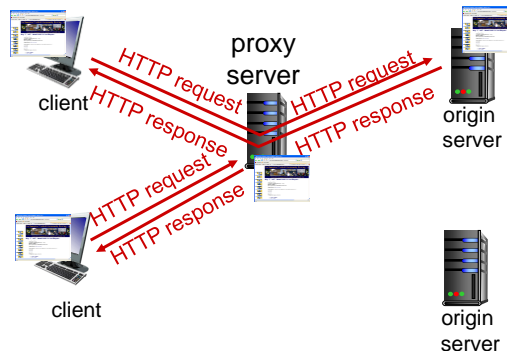
- ❖ protocol endpoints: maintain state at sender/receiver over multiple transactions
- ❖ cookies: http messages carry state

Application Layer 2-36

## Web caches (proxy server)

*goal:* satisfy client request without involving origin server

- ❖ user sets browser: Web accesses via cache
- ❖ browser sends all HTTP requests to cache
  - object in cache: cache returns object
  - else cache requests object from origin server, then returns object to client



Application Layer 2-37

## More about Web caching

- ❖ cache acts as both client and server
  - server for original requesting client
  - client to origin server
- ❖ typically cache is installed by ISP (university, company, residential ISP)

### *why Web caching?*

- ❖ reduce response time for client request
- ❖ reduce traffic on an institution's access link
- ❖ Internet dense with caches: enables "poor" content providers to effectively deliver content (so too does P2P file sharing)

Application Layer 2-38

## Chapter 2: outline

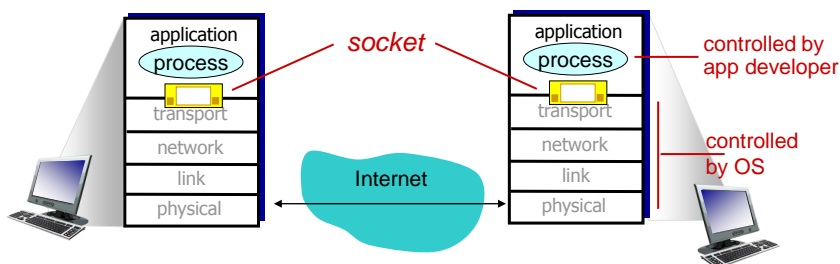
### 2.7 socket programming with UDP and TCP

Application Layer 2-39

## Socket programming

**goal:** learn how to build client/server applications that communicate using sockets

**socket:** door between application process and end-end-transport protocol



Application Layer 2-40

# Socket programming

*Two socket types for two transport services:*

- **UDP:** unreliable datagram
- **TCP:** reliable, byte stream-oriented

Application Layer 2-41

## Socket programming *with TCP*

**client must contact server**

- ❖ server process must first be running
- ❖ server must have created socket (door) that welcomes client's contact

**client contacts server by:**

- ❖ Creating TCP socket, specifying IP address, port number of server process
- ❖ **when client creates socket:** client TCP establishes connection to server TCP

- ❖ when contacted by client, **server TCP creates new socket** for server process to communicate with that particular client

- allows server to talk with multiple clients
- source port numbers used to distinguish clients (more in Chap 3)

**application viewpoint:**

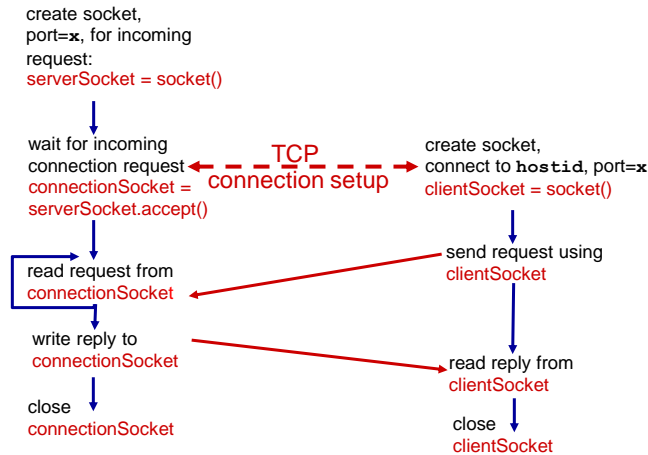
TCP provides reliable, in-order byte-stream transfer ("pipe") between client and server

Application Layer 2-42

# Client/server socket interaction: TCP

## server (running on `hostid`)

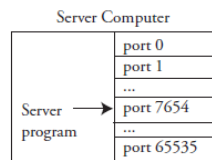
## client



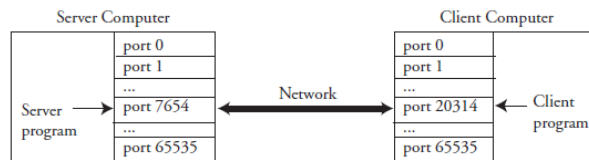
Application Layer 2-43

# Client/Socket Interaction

1. The server listens and waits for a connection on port 7654.



2. The client connects to the server on port 7654. It uses a local port that is assigned automatically, in this case, port 20314.



The server program can now communicate over a socket bound locally to port 7654 and remotely to the client's address at port 20314.

The client program can now communicate over a socket bound locally to port 20314 and remotely to the server's address at port 7654.

Application Layer 2-44

# TCP Server Example

```
import java.util.Date;
import java.net.ServerSocket;
import java.net.Socket;
import java.io.DataOutputStream;
import java.io.BufferedReader;
import java.io.InputStreamReader;
import java.io.IOException;

public class DateServer
{
    public static void main(String[] args)
    {
        Date now = new Date();

        try
        {
            System.out.println("Waiting for a connection on port 7654.");
            ServerSocket serverSock = new ServerSocket(7654);
            Socket connectionSock = serverSock.accept();

            BufferedReader clientInput = new BufferedReader(
                new InputStreamReader(connectionSock.getInputStream()));
            DataOutputStream clientOutput = new DataOutputStream(
                connectionSock.getOutputStream());

            System.out.println("Connection made, waiting for client to send their name.");
            String clientText = clientInput.readLine();
            String replyText = "Welcome, " + clientText +
                ", Today is " + now.toString() + "\n";
            clientOutput.writeBytes(replyText);
            System.out.println("Sent: " + replyText);

            clientOutput.close();
            clientInput.close();
            connectionSock.close();
            serverSock.close();
        }
        catch (IOException e)
        {
            System.out.println(e.getMessage());
        }
    }
}
```

# TCP Server Example

```
        System.out.println("Connection made, waiting for client to send their name.");
        String clientText = clientInput.readLine();
        String replyText = "Welcome, " + clientText +
            ", Today is " + now.toString() + "\n";
        clientOutput.writeBytes(replyText);
        System.out.println("Sent: " + replyText);

        clientOutput.close();
        clientInput.close();
        connectionSock.close();
        serverSock.close();
    }
    catch (IOException e)
    {
        System.out.println(e.getMessage());
    }
}
```

# TCP Client Example

```
import java.net.Socket;
import java.io.DataOutputStream;
import java.io.BufferedReader;
import java.io.InputStreamReader;
import java.io.IOException;

public class DateClient
{
    public static void main(String[] args)
    {
        try
        {
            String hostname = "localhost";
            int port = 7654;

            System.out.println("Connecting to server on port " + port);
            Socket connectionSock = new Socket(hostname, port);

            BufferedReader serverInput = new BufferedReader(
                new InputStreamReader(connectionSock.getInputStream()));
            DataOutputStream serverOutput = new DataOutputStream(
                connectionSock.getOutputStream());
```

Application Layer 2-47

# TCP Client Example

```
            System.out.println("Connection made, sending name.");
            serverOutput.writeBytes("Dusty Rhodes\n");

            System.out.println("Waiting for reply.");
            String serverData = serverInput.readLine();
            System.out.println("Received: " + serverData);

            serverOutput.close();
            serverInput.close();
            connectionSock.close();
        }
        catch (IOException e)
        {
            System.out.println(e.getMessage());
        }
    }
}
```

Application Layer 2-48



## Problem: Blocking Calls

- ❖ Only good for one invocation
- ❖ The server process blocks at the accept() call
- ❖ Solution: Threading
- ❖ To make a thread in Java:
  - Make your class extend “Thread”
  - Make a public void run() method that does the work of the thread
  - Invoke the thread by invoking the start() method of the instance of the class

Application Layer 2-49

## Simple Thread

```
public class SimpleThread extends Thread
{
    private int id;

    public SimpleThread(int id)
    {
        this.id = id;
    }

    public void run()
    {
        System.out.println("Hello from thread " + id);
    }

    public static void main(String[] args)
    {
        SimpleThread thread1 = new SimpleThread(1);
        SimpleThread thread2 = new SimpleThread(2);

        thread1.start();
        thread2.start();
    }
}
```

Application Layer 2-50

# Threaded DateServer

```
import java.util.Date;
import java.net.ServerSocket;
import java.net.Socket;
import java.io.DataOutputStream;
import java.io.BufferedReader;
import java.io.InputStreamReader;
import java.io.IOException;

public class ThreadedDateServer extends Thread
{
    private Socket connectionSock = null;

    public ThreadedDateServer(Socket theSock)
    {
        connectionSock = theSock;
    }
}
```

Application Layer 2-51

# Threaded DateServer

```
public void run()
{
    try
    {
        Date now = new Date();

        BufferedReader clientInput = new BufferedReader(
            new InputStreamReader(connectionSock.getInputStream()));
        DataOutputStream clientOutput = new DataOutputStream(
            connectionSock.getOutputStream());

        System.out.println("Connection made, waiting for client to send their name.");
        String clientText = clientInput.readLine();
        String replyText = "Welcome, " + clientText + ", Today is " + now.toString() + "\n";
        clientOutput.writeBytes(replyText);
        System.out.println("Sent: " + replyText);

        clientOutput.close();
        clientInput.close();
        connectionSock.close();
    }
    catch (IOException e)
    {
        System.out.println(e.getMessage());
    }
}
```

Application Layer 2-52

# Threaded DateServer

```
public static void main(String[] args)
{
    try
    {
        System.out.println("Waiting for a connection on port 7654.");
        ServerSocket serverSock = new ServerSocket(7654);
        while (true)
        {
            Socket connectionSock = serverSock.accept();

            // Make thread
            ThreadedDateServer dateThread = new ThreadedDateServer(connectionSock);
            dateThread.start();
        }
        //serverSock.close();      // Never gets here in this simple version
    }
    catch (IOException e)
    {
        System.out.println(e.getMessage());
    }
}
```

Application Layer 2-53