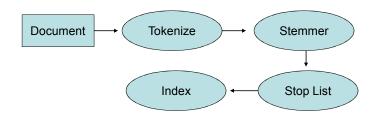
# Introduction to Information Retrieval

#### Information Retrieval Introduction

- In CS A201, CS A351 we discuss methods for string matching
  - Appropriate for "small" documents that fit in memory available
  - Not appropriate for massive databases like the WWW
- The field of IR is concerned with the efficient search and retrieval of documents, often involving text
  - Documents are indexed
  - Index is searched based upon a query

## Indexing

- What should be indexed?
  - Words
  - Phrases (e.g., president of the U.S.)
  - Whole sentences
- Recognizing phrases is non-trivial and does not appear to considerably improve retrieval
- Using words for indexing is the most common approach



#### What's a Word?

- Recognizing word boundaries is almost trivial in English
  - separated by white spaces or punctuation
- More difficult in languages such as Dutch or German, compounds are not separated by spaces
  - Pretty difficult for Arab and Asian languages
- Problems in English are mainly due to punctuation

#### **Tokenization**

- A tokenizer recognizes word boundaries
  - In . . . sold the company. the period is not part of company
  - . . . but in . . . in the **U.S.A.** it is
- Remove hyphens in adjective phrases such as well-performing system
- Some compounds are not separated by white spaces, e.g., spacewalk, waterway, household, shortcoming

# Morphological Normalization

- How to make sure that company and companies and sell and sold match?
- Could ignore, but matching morphological variants increases recall
- To remove morphological information such as tense and number:
  - Stemming
    - · A set of rules is used to remove suffixes
    - E.g., If word ends in ies, but not eies, aies then change ies
       →y
       policies to policy
    - Cheap, but unrelated words such as police and policy can be reduced to the same stem: polic
  - Lemmatization
    - · Use dictionary-type lookup for irregular words, more expensive
- Most search engines don't use stemming, more interested in precision (getting relevant documents you want) than recall (not missing documents that are relevant)

#### Stop Words

- Our document is now a list of tokenized, stemmed words or terms
- Some of these terms don't have much content
  - E.g. The, he, she, why, and, of
  - These words are often ignored and are called stop words
  - They can be easily filtered by using a list of commonly compiled stop words, e.g. 400 or so words
- Why eliminate stop words?
  - Using stop words does not improve retrieval
  - But reduces the size of the index considerably
  - Also can reduces retrieval time

## **Vector Space Document Model**

- Given a collection of documents, processed into a list (i.e. vector) of terms and a query Q, also turned into a vector
  - Bag of words model: assumes each word is independent of the other
- Terms and Weights
  - Associated with each document term is a weight.
  - The weight may be binary (typically whether or not the term exists in the document), or it might be a floating point value between 0 and 1 where 0 indicates that this term is not relevant to the document, and 1 indicates that it is highly relevant to the document.

# Vector Space Example

Terms:	Doc 1:	Doc 2:	Doc 3:	Query
artificial	1	1	0.3	0.5
intelligence	1	1	0.6	0.9
information	0	0	0	0.01
retrieval	1	0	0.01	0.91
mock	1	1	0.99	0.99
kenrick	0	1	0.85	0.01

# Comparing Query to Documents

- Treat the Query and Document as vectors, and use standard vector comparison metrics
- Given vectors

$$X=(x_1,x_2,...,x_t)$$
 Document  $Y=(y_1, y_2,...,y_t)$  Query

Where:

 $\boldsymbol{x}_{i}$  - weight of term i in the document and

y<sub>i</sub> - weight of term i in the query

For binary weights, let:

|X| = number of 1s in the document and

|Y| = number of 1s in query

# **Comparison Metrics**

For binary term vectors

Inner product 
$$|X \cap Y|$$

Dice coefficient  $\frac{2|X \cap Y|}{|X|+|Y|}$ 

For weighted term vectors

$$\sum_{i=1}^{t} x_i y_i$$

$$\frac{2\sum_{i=1}^{t} x_i y_i}{\sum_{i=1}^{t} x_i^2 + \sum_{i=1}^{t} y_i^2}$$

# **Comparison Metrics**

For binary term For weighted term vectors 
$$\frac{|X \cap Y|}{|X|^{1/2}|Y|^{1/2}} \qquad \frac{\sum_{i=1}^{t} x_i y_i}{\sqrt{\sum_{i=1}^{t} y_i^2 \sum_{i=1}^{t} x_i^2}}$$
Jaccard cofficient 
$$\frac{|X \cap Y|}{|X| + |Y| - |X \cap Y|} \qquad \frac{\sum_{i=1}^{t} x_i y_i}{\sum_{i=1}^{t} x_i^2 + \sum_{i=1}^{t} y_i^2 - \sum_{i=1}^{t} x_i y_i}$$

# Example – Binary Inner Product

t=5000						
term	1	2	 12	456	678	5000
Doc-1	0	1	0	1	0	0
Doc-2	1	1	1	0	1	1
	_		•	_		_
Doc-N	U	1	U	1	1	U
Query	1	1	0	0	1	0

Applying the inner product of the query to doc1, doc2, and doc-N gives us 1 for doc1, 2 for doc N, and 3 for doc 2.

The ranked list is then document 2, N, and 1 with document 2 being the most relevant.

# Example – Weighted Inner Product

Term	1	2	12	456	678	5000
Doc-1	0	0.3	0	0.5	0	0
Doc-2	0.2	0.6	0.3	0	8.0	0.3
Doc-N	•	0.2	0	0	0.6 0.7	0
Query	0.5	0.7	U	0	0.7	U

The relevance values in this case are calculated via:

For Doc-1: 0.3\*0 + 0.7\*0.3 + 0.7\*0 = 0.21 For Doc-2: 0.3\*0.2 + 0.7\*0.6 + 0.7\*0.8 = 1.04 For Doc-N: 0.3\*0 + 0.7\*0.2 + 0.7\*0.6 = 0.56

# Where do we get the weights?

- TF-IDF is a popular metric
  - Term Frequency-Inverse Document Frequency
  - Idea is to weight each term by
    - · A measure of recurrence
    - · A measure of term discrimination
    - · A normalization factor
  - Assumes that the frequency of occurrence of a term or keyword within a document is an indicator of how relevant that term is. However, if a term or keyword appears in many documents, then its predictive power is weak.
    - E.g. word "the" occurs a lot in one document, but it's also in every single document, so it has a weak weighting

#### TF-IDF

 For each term, multiply the term-frequency (tf) by 1/document-frequency (idf or inverse document frequency) to obtain a metric of relevancy for each term.

$$weight(t_i) = tf(t_i) \times \log\left(\frac{N}{df(t)}\right)$$

- Where:  $t_i$  = term t in document i
- tf(t<sub>i</sub>) = Number of occurrences of term t in document i
- df(t) = Number of documents containing term t
- N = Total number of documents

# TF-IDF Example

Doc 3: Doc 2: Doc 1: artificial 2 artificial 3 artificial 0 intelligence 0 intelligence 5 intelligence 5 information 0 information 12 information 5 retrieval 10 retrieval 0 retrieval 0 mock 1 mock 3 mock 0 kenrick 2 kenrick 0 kenrick 1 the 35 the 56 the 42

From these frequencies we can construct tf-idf values for the terms in document 1:

Global Document Freq:		Document 1 Freq:		TF-IDF Weights	$= tf(t_i) \times \log \left(\frac{N}{df(t)}\right)$
artificial	2	artificial	2	$2*\log(3/2)=0.35$	
intelligence	2	intelligence	0	$0*\log(3/2)=0$	
information	2	information	12	$12*\log(3/2)=2.11$	
retrieval	1	retrieval	10	$10*\log(3/1)=4.77$	
mock	2	mock	1	$1*\log(3/2)=0.17$	
kenrick	2	kenrick	2	$2*\log(3/2)=0.53$	
the	3	the	35	$35*\log(3/3)=0$	

# **Classification System**

- Can apply same technique to a category
  - Category is conglomeration of tf-idf vectors from multiple documents

Doc 1:	Category Fr	eq:	<b>TF-IDF Weights</b>
artificial 10 intelligence 20 salton 10	artificial intelligence retrieval	23 33 11	0.33 0.45 1.00
kenrick 2  Doc 2: artificial 13 intelligence 13 salton 15 retrieval 11	kenrick salton	2 25	0.66 0.83

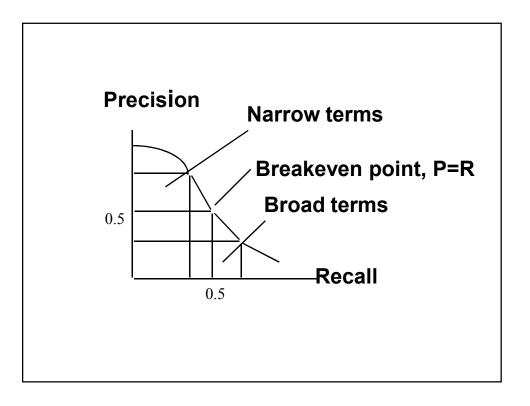
Confusion Matrix for Category Classification

	Positive	Negative
Prediction +	# True+	# False+
Prediction -	# False -	# True -

Confusion Matrix for Junk Email Category

	Positive	Negative
Prediction +	34	2
Prediction -	10	103

Precision = 
$$34 / 34 + 10 = 0.77$$
  
Recall =  $34 / 34 + 2 = 0.94$ 



# Our IR System

- · Vectorize all documents to tf-idf values
- · Vectorize the query to tf-idf values
- Compare query to all documents using one of the comparison metrics
- Sort results by relevancy
- BUT
  - Comparing query to all documents is slow with large document sets
  - Queries on the web are on average 2.3 words
  - Use an inverted index for greater efficiency, an index on each word that tells us what documents contain that word

# Inverted Indexing Example

- Objective
  - Create a sorted list of words with pointers indicating which and where the words appear in the documents.
  - We can then process the list in many different ways to meet the retrieval needs

# Example

- Document D1
  - Title: Cats and dogs: Mortal enemies or simply misunderstood?
  - Category: Cats; Dogs; Children Literature
- Document D2
  - Title: New methods of feeding cats and dogsCategory: Cats; Dogs; Feeding behaviors;
- Document D3
  - Title: I Love Hot Dogs
  - Category : Children Literature; Stories; Food;

# Step 1: Number all the words in each field (exclude stop words)

#### Document D1

T: Cats and dogs: Mortal enemies or simply misunderstood?

1 2 3 4 5

C: Cats; Dogs; Children Literature

#### Document D2

T: New methods of feeding cats and dogs

1 2 3 4 5

C : Cats; Dogs; Feeding behaviors;

#### Document D3

T: I love hot dogs

1 2 3

C : Children Literature ; Stories; Food;

2 3

# Step 2: make a list of words with its pointers to its document number, its field, and its position

D2T01

D2T02

cats D1T01
dogs D1T02 new
mortal D1T03 methods
enemies D1T04 feeding
simply D1T05
misunderstood D1T06
dogs

cats D1C01 dogs D1C02 children D1C03 literature D1C04 feeding D2T03
cats D2T04
dogs D2T05

cats D2C01
dogs D2C02
feeding D2C03
behaviors D2C04

love D3T01 hot D3T02 dogs D3T03 children D3C01

literature D3C02 stories D3C03 food D3C04

#### Step 3: Merge and alphabetize the list

behaviors D2C04

D1T01, D2T04, D1C01, D2C01 cats

children D1C03, D3C01

D1C02, D1T02, D2T05, D3T03, D2C02 dogs

enemies D1T04

feeding D2T03, D2C03 food D3C04 hot D3T02

literature D1C04, D3C02

D3T01 methods D2T02

misunderstood D1T06

mortal D1T03 new D2T01

simply D1T05

stories D3C03 Step 4: Query Evaluation

 Traverse lists for each query term OR: the union of component lists AND: an intersection of component lists

· Can use position for proximity

· Can also perform tf-idf style computations if look up weights for terms in the doc

Queries:

· cats AND feeding

D2: Cat Term 1, 3; Text Term 4

• mortal OR love D3: Text Term 1 D1: Text Term 3

#### Inverted Index and TF-IDF

- Inverted indices form the basis for all search engines
- TF-IDF often used to compare query to a web document
  - However, it doesn't work too well comparing a short query to a longer document
    - · Vector model will favor very short documents that are the query plus a few words, since the vectors will be very similar (longer document has other terms that don't match the query)
      - ex. Microsoft => Microsoft sucks
  - Proprietary techniques also used, e.g. PageRank, to supplement

#### Introduction To Anatomy of Google

- Most material from presentation "Anatomy of a Large-Scale Hypertextual Web Search Engine" by Sergey Brin and Lawrence Page (1997)
- Flash Back to 1997
  - Amount of information and new users inexperienced in the art of web research rapidly growing.
  - People often starting with:
    - · High quality human maintained indices
      - Effectively but subjective, expensive to build and maintain, slow to improve, and can't cover all topics
    - · Keyword matching
      - Return too many low quality matches
  - It's necessary to build a large scale search engine
    - · Large especially heavy use
    - · Provide higher quality search results

# Introduction (cont.)

- Web Search Engines--Scaling UP:1994-2000
  - Technology should scale to keep up with growth of web.
  - In 1994(WWWW, World Wide Web Worm):
    - · Had index of 110,000 pages.
    - · Receive 1500 queries per day.
  - In 1997(WebCrawler):
    - Claim to index from 2 to 100 million web pages
    - · Altavista handled 20 million queries per day
  - Forecast in 2000
    - · Index of Web will contain over a billion document
    - · Hundreds of millions of queries per day.
  - Address Quality and Scalability problem
  - In 11/2009
    - · ~22.5 billion pages index in Google

# Introduction (cont.)

- · Google:Scaling with the Web
  - Common spelling of "Googol" or 10^100
  - Creating scalable search engine may face some problem:
    - Fast crawling technology:gather pages and keep them up to date
    - · Storage space:used efficiently to store indices and pages.
    - Index System:process hundreds of gigabytes of data efficiently
    - · Handle queries quickly
  - Designed to scale well to extremely large data sets:
    - · Efficient use of storage space to store the index.
    - Data structures are optimized for fast and efficient access.
    - · Expect the cost to index and store may decline.

# **Design Goals**

- · Improved search quality
  - In 1994, complete search index is enough
  - In 1997, "Junk results" becomes serious problem
  - Number of pages increase, but user's ability not.
  - We want to get high precision, even at expense of recall.
  - The use of hypertextual information can help improve search and other application.
  - Google makes use of both <u>link structure</u> and <u>anchor</u> text.

# System Features

- PageRank: Use Link Structure
  - Created maps containing 518 million of hyperlinks
  - Considered two points:
    - · Citation importance
    - · People's subjective idea of importance.
  - Page Rank is an excellent way to prioritize the results of web keyword search.
  - Calculation:
    - PR(A)=(1-d)+d[PR(T1)/C(T1)+..+PR(Tn/C(Tn)]
      - d usually sets 0.85
      - use a simple iterative algorithm, and correspond to the principal eigenvector of normalized link matrix.

# System Features(cont.)

- Intuitive Justification for PageRank
  - · Damping Factor:
    - d is called damping factor, it means the probability at each page the "random surfer"
    - Can only add the damping factor d to a single page or a group of pages, and this allows for personalization.
  - Citation Concept:
    - A page can have high page rank if there are many pages that point to it, or if there are some pages that point to it and have a high rank.

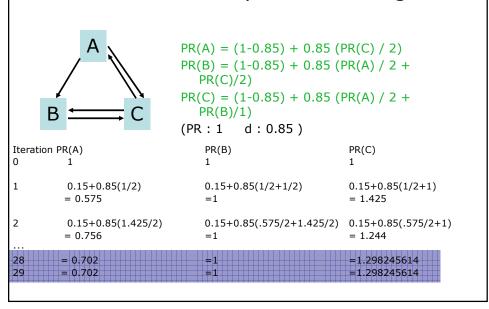
#### How PageRank is calculated?

$$PR(A) = (1-d) + d (PR(T1)/C(T1) + ... + PR(Tn)/C(Tn))$$

- PR(A) is the PageRank of Page A
- d is a dampening factor(0-1). Nominally this is set to 0.85
- PR(T1) is the PageRank of a site pointing to Page A
- C(T1) is the number of links off that page
- PR(Tn)/C(Tn) means we do that for each page pointing to Page A
- Minimum: 1-d Maximum: (1-d)+dN

PageRank Calculator: http://www.markhorrell.com/seo/pagerank.asp

#### The Iterative Computation of PageRank



#### Internal Structures and Linkages



(Hierarchical)

Page A = 1.4594595Page B = 0.7702703

Page C = 0.7702703



(Looping)

Page A = 1Page B = 1

Page C = 1



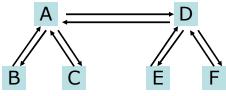
(Interlinking)

Page A = 1

Page B = 1

Page C = 1

#### Link exchange(1)



Page A = 1.4594595

Page B = 0.7702703

Page C = 0.7702703

Total PR: 3.0

Page A = 1.7234043

Page B = 0.6382979

Page C = 0.6382979

Total PR: 3.0

Page D = 1.4594595

Page E = 0.7702703

Page F = 0.7702703

Total PR: 3.0

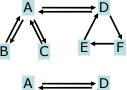
Page D = 1.7234043

Page E = 0.6382979

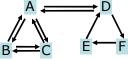
Page F = 0.6382979

Total PR: 3.0

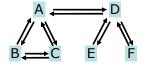
#### Link exchange(2)



Page A = 1.8623058 Page D = 1.3183416 Page B = 0.6776533 Page E = 0.7537509 Page C = 0.6776533 Page F = 0.7102952 Total PR: 3.2176124 Total PR: 2.7823877



Page A = 1.4934575 Page D = 1.1675242 Page B = 0.9967762 Page E = 0.6992681 Page C = 0.9967762 Page F = 0.6461978 Total PR: 3.4870099 Total PR: 2.5129901



Page A = 1.3913813 Page D = 1.5419126 Page B = 0.9464778 Page E = 0.5868752 Page C = 0.9464778 Page F = 0.5868752 Total PR: 3.2843369 Total PR: 2.7156630

#### Penalty

Google wants to penalize a page--it is assigned a PageRank of zero.

- •Spam(i.e., excessive repetition of keywords, same color text as background, deceptive or misleading links)
- Link farms(Reciprocal Link)

#### In Practice

 PageLink enhanced to allow retrieval of pages that are ranked highly if search keywords found on citation pages but not on target page

query = "living legend"

no keyword hit but google allows it to be retrieved since pages hit all refer to it

Googlebomb

hits

- A user registers many domains and all of them link to their main site to influence google pagerank
- Adam Mathes made "talentless hack" refer to his friend Andy Pressman;
   "more evil than Satan" refer to Microsoft
- Ends when/if news media picks up and writes stories

# System Features(cont.)

- Anchor Text
  - The text of links is treated in a special way.
  - We associate anchor text with the page the link points to
    - It often provides more accurate descriptions of web pages
    - Exists when pages can't be indexed by text-based search engine
  - In 24 million pages, we had over 259 million anchors which we indexed.

# System Features(cont.)

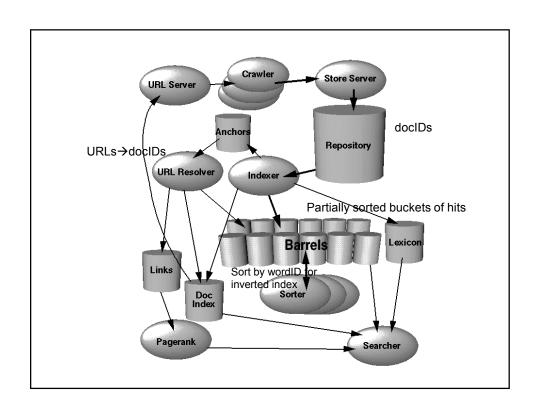
- Other features:
  - It has location information for all hits and so it makes extensive use of proximity in search.
  - Keep track of some visual presentation details
  - Full raw HTML of pages is available in a repository.

#### **Related Work**

- Information Retrieval
  - IR system is on small well controlled homogeneous collections such as scientific papers or news.
    - TREC takes 20GB as their benchmark compared to 147GB.
  - Things that work well on TREC don't produce good results on the web
    - Vector model will return very short document that are the query plus a few word
      - ex.Bill Clinton=>Bill Clinton sucks
    - They claimed that users should specify more accurately query.
  - We claim that user can get reasonable number and quality results for any precision or simple queries.

# System Anatomy

- · Google Architecture Overview: Figure 1
- · Major Data Structure
  - Big Files:Virtual files spanning multiple file system
  - Repository:figure 2
    - use zlib (3:1) to compress
  - Document Index:Keep information about each documents
    - · Add link to link list
    - · Convert URLs into docIDs
  - Lexicon: figure 3
  - Hit Lists:a list of occurrences of a particular word in a particular document



#### Repository: 53.5 GB = 147.8 GB uncompressed

sync	length	compressed packet	
sync	length	compressed packet	

Packet (stored compressed in repository)

| docid| ecode| urllen| pagelen| url | page

#### **Document Index**

- The document index keeps information about each document. It is a fixed width ISAM (Index sequential access mode) index, ordered by docID.
  - Document status
  - Pointer to the repository
  - Checksum
  - Document statistics
- File to convert URLs into docIDs
  - List of URL checksums with corresponding docIDs sorted by checksum
  - To find the docID of an URL, the URL's checksum is computed and binary search performed on checksum file

#### Hit List

- A hit list corresponds to a list of occurrences of a particular word in a particular document including position, font, and capitalization
- Takes up most of the space in the inverted index
- Compact encoding uses two bytes for every hit
  - Fancy hits
    - · Hits in an URL, title, anchor text, or meta tag
  - Plain hits

#### **Forward Barrels**

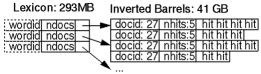
- Barrels of documents and list of hits for that document
  - Used 64 barrels



Partially sorted – Barrels assigned a range of wordid's. This means that one docid may be replicated in multiple barrels.

#### **Inverted Index**

- The inverted index consists of the same barrels as the forward index, except that they have been processed by the sorter.
  - For every valid wordID, the lexicon contains a pointer into the barrel that wordID falls into. It points to a doclist of docID's together with their corresponding hit lists.
  - This doclist represents all the occurrences of that word in all documents.



- Actually keep two sets of inverted barrels
  - Small barrels set include title or anchor hits and larger set for all hit lists.
  - Check the small set of barrels first and if there are not enough matches within those barrels we check the larger ones.

# System Anatomy(cont.)

- · Indexing the Web
  - Parsing
  - Indexing Documents into Barrels
  - Sorting
- Searching
  - The goal of searching is to provide quality search results efficiently.
  - Query Evaluation, figure 4
  - To put a limit on response time, only found 40,000 pages, so sub-optimal results may be returned.

# Figure 4

- 1. Parse the query.
- 2. Convert words into wordIDs.
- 3. Seek to the start of the doclist in the short barrel for every word.
- 4. Scan through the doclists until there is a document that matches all the search terms.
- 5. Compute the rank of that document for the query.
- 6.If we are in the short barrels and at the end of any doclist, seek to the start of the doclist in the full barrel for every word and go to step 4.
- 7. If we are not at the end of any doclist go to step 4.

Sort the documents that have matched by rank and return the top k.

Figure 4. Google Query Evaluation

# System Anatomy(cont.)

- The Ranking System
  - Google maintains much more information about web documents
    - Hitlist includes position, font, and capitalization
    - Anchor text
  - For single word search:
    - Give different type (ex.title, URL, anchor) different weight
    - Count the word weight and sum to IR score
  - · For multi word search:
    - Besides type, the hits occurs close together are weighted higher than hits occur far apart.
  - Feedback:Use users' feedback to judge the weight parameter in the system

#### Results

- Google produce better results than commercial search engine.
  - Figure 5
  - The result show the feature of Google
    - · Good result quality and no broken links
    - Anchor Text based(ex. E-mail can be shown)
    - No results about a Bill other than Clinton or about a Clinton other than Bill
  - Storage Requirement: Table 1

```
Query: bill clinton
http://www.whitehouse.gov/
100.00% (no date) (0K)
http://www.whitehouse.gov/
      Office of the President
        99.67% (Dec 23 1996) (2K)
        http://www.whitehouse.gov/WH/EOP/OP/html/OP_Home.html
      Welcome To The White House
        99.98% (Nov 09 1997) (5K)
        http://www.whitehouse.gov/WH/Welcome.html
      Send Electronic Mail to the President
        99.86% (Jul 14 1997) (5K)
        http://www.whitehouse.gov/WH/Mail/html/Mail_President.html
mailto:president@whitehouse.gov
99.98%
      mailto:President@whitehouse.gov
        99.27%
The "Unofficial" Bill Clinton
94.06% (Nov 11 1997) (14K)
http://zpub.com/un/un-bc.html
       Bill Clinton Meets The Shrinks
         86.27% (Jun 29 1997) (63K)
         http://zpub.com/un/un-bc9.htm
President Bill Clinton - The Dark Side
97.27% (Nov 10 1997) (15K)
http://www.realchange.org/clinton.htm
$3 Bill Clinton
94.73% (no date) (4K)
http://www.gatewy.net/~tjohnson/clinton1.html
                     Figure 5. Sample Results from Google
```

#### Table 1

Storage Statistics						
Total Size of Fetched Pages		147.8 GB				
Compressed Repository		53.5 GB				
Short Inverted Index		4.1 GB				
Full Inverted Index		37.2 GB				
Lexicon		293 MB				
Temporary Anchor Data (not in	total)	6.6 GB				
Document Index Incl. Variable V	9.7 GB					
Links Database	3.9 GB					
Total Without Repository	55.2 GB					
Total With Repository	108.7gb					
Web Page S	Web Page Statistics					
Number of Web Pages Fetched						
Number of Urls Seen						
Number of Email Addresses						
Number of 404's	1.6 million					

#### Performance

- System performance:9 days to download 26 million pages(worse case)
- Search Performance:
  - query time range from 1 to 10 sec.
  - Time is mostly dominated by disk IO over NFS
  - Table 2

#### Table 2

	Initial Query Sa	ıme Query	Repeated (IO mostly cached)	
Query	CPU Time(s) Total Time(s		CPU Time(s)	Total Time(s)
al gore	0.09	2.13	0.06	0.06
vice president	1.77	3.84	1.66	1.80
hard disks	0.25	4.86	0.20	0.24
search engines	1.31	9.63	1.16	1.16

#### Conclusion

- Google has some features:
  - Is suited for large-scale web environment.
  - Can provide high quality results.
- Future Work
  - Intelligent update algorithm
  - Other scalable methods
  - Other weighting techniques
    - Add weight to page in bookmarks in order to construct personal web